

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): JAMES, William et al. EXAMINER: Not Yet Known
 SERIAL NO.: 10/534,259 GROUP ART UNIT: 1615
 FILED: May 10, 2005 ATTORNEY DOCKET No.: P-7938-US
 FOR: LIGANDS

Mail Stop Missing Parts
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING REQUIREMENT UNDER 35 U.S.C. 371 IN
 THE UNITED STATES DESIGNATED/ELECTED OFFICE(DO/EO/US)**

Sir:

In response to the Notice to File Missing Parts of Application under 37 CFR 1.53(b), mailed February 9, 2006 (a copy of which is enclosed), Applicant(s) submit herewith the following:

1. ☒ an executed Declaration and Power of Attorney making reference to the above-identified application, and in compliance with 37 C.F.R. 1.63;
2. ☒ Applicant(s) Claim Small Entity Status;
3. ☒ a paper copy of the "Substitute Sequence Listing" in compliance with 37 C.F.R. 1.821-1.825;
4. ☒ a computer readable form (CRF) copy of the "Substitute Sequence Listing" in compliance with 37 C.F.R. 1.821-1.825 and
5. ☒ a statement that the information recorded in computer readable form is identical to the written sequence listing, the "Substitute Sequence Listing" contains only information included in the disclosure of the application as filed, and support for the amendment to the Sequence Listing in the application as filed.
6. ☒ Change of Correspondence Address Form.

A response is due April 9, 2006. Accordingly, this response is being timely filed.

The Patent Office is hereby authorized to charge Deposit Account 50-3355 in the amount of \$65.00, covering the following:

APPLICANTS: JAMES, William et al.
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 FILED: May 10, 2005
 Page 2

| FEE CALCULATION | | | | |
|---|-------------------------------------|------------------|---------------------------------------|------------------|
| 1. BASIC FILING FEE | | | | |
| | Filing Date BEFORE December 8, 2004 | | Filing Date ON/AFTER December 8, 2004 | |
| | Large Entity Fee | Small Entity Fee | Large Entity Fee | Small Entity Fee |
| Utility | \$790 | \$395 | \$1000 | \$500 |
| Provisional | \$160 | \$80 | \$200 | \$100 |
| Application Size Fee (over 100 pages, per 50) | \$250 | | \$125 | |
| | | | | 0 |
| | | | | 0 |
| | | | | 0 |

| 2. EXTRA CLAIM FEES | | | | |
|---------------------|------------------|---------------------------------------|--|-----------|
| Total Claims | | -20 = | | x \$50 = |
| Independent Claims | | - 3 = | | x \$200 = |
| Multiple Dependent | | | | x \$360 = |
| Large Entity Fee | Small Entity Fee | Fee Description | | |
| \$50 | \$25 | Claims in excess of 20 | | |
| \$200 | \$100 | Independent claims in excess of 3 | | |
| \$380 | \$180 | Multiple dependent claim, if not paid | | |

| 3. Fee for Petition for Extension of Time | | | |
|---|------------------|---|---|
| Large Entity Fee | Small Entity Fee | | |
| \$120 | \$60 | Extension for reply within first Month | 0 |
| \$450 | \$225 | Extension for reply within second Month | 0 |
| \$1,020 | \$510 | Extension for reply within third Month | 0 |
| \$1,590 | \$795 | Extension for reply within fourth Month | 0 |

| 4. Surcharge Fee Under 37 CFR 1.16(f) or 1.492(e) | | | |
|---|------------------|------------------|------|
| | Large Entity Fee | Small Entity Fee | |
| Utility | \$130 | \$65 | \$65 |

| 5. Surcharge Fee Under 37 CFR 1.16(l) | | | |
|---------------------------------------|------------------|------------------|---|
| | Large Entity Fee | Small Entity Fee | |
| Provisional | \$50 | \$25 | 0 |

| | | | |
|---------------------|--|--|-------|
| TOTAL (all columns) | | | 65.00 |
|---------------------|--|--|-------|

If any additional fee is required, the undersigned hereby authorizes the Patent Office to charge such additional fee to Deposit Account 50-3355.

03/02/2006 ATRAN1 00000090 503355 10534259
 01 FC:2617 65.00 DA

Respectfully submitted,

Mark S. Cohen
 Attorney/Agent for Applicant(s)
 Registration No. 42,425

Dated: February 28, 2006

Pearl Cohen Zedek Latzer LLP.
 1500 Broadway, 12th Floor
 New York, New York 10036
 Tel: (646) 878-0800
 Fax: (646) 878-0801

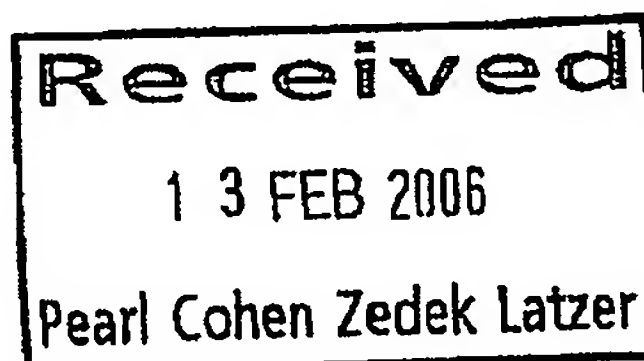


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1410
www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/534,259 | William James | P-7938-US |

49443
PEARL COHEN ZEDEK, LLP
10 ROCKEFELLER PLAZA
SUITE 1001
NEW YORK, NY 10020



| INTERNATIONAL APPLICATION NO. |
|-------------------------------|
|-------------------------------|

PCI/GB03/04897

| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|
|------------------|---------------|

11/12/2003

11/12/2002

CONFIRMATION NO. 4967

371 FORMALITIES LETTER



OC000000017953950

Date Mailed: 02/09/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/10/2005
- Copy of the International Search Report filed on 05/10/2005
- Preliminary Amendments filed on 05/10/2005
- Information Disclosure Statements filed on 11/28/2005
- Oath or Declaration filed on 05/10/2005
- Biochemical Sequence Listing filed on 05/10/2005
- Request for Immediate Examination filed on 05/10/2005
- U.S. Basic National Fees filed on 05/10/2005
- Priority Documents filed on 05/10/2005

The applicant needs to satisfy supplemental fees problems indicated below

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For PatentIn Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for PatentIn Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/534,259 | PCT/GB03/04897 | P-7938-US |